

## OVERVIEW

The SM5841C is a digital filter for digital audio, fabricated in Molybdenum-gate CMOS.

The SM5841C features 8-times oversampling, digital deemphasis, soft muting and a noise shaper. It can operate from a standard 5 V supply or a low-voltage 3.2 V supply.

The SM5841C is available in 18-pin plastic DIPs and 22-pin SOPs.

## FEATURES

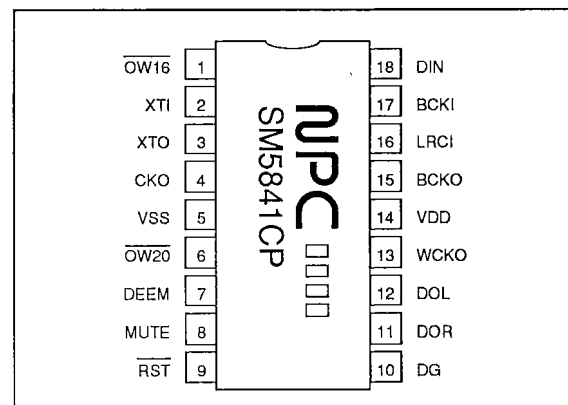
- Filter configuration
  - 2-channel, 8-times oversampling (interpolation) filter
  - 3-stage interpolation (69-tap + 13-tap + 9-tap)
  - 44.1 kHz IIR deemphasis filter for accurate gain and phase response
  - Overflow limiter
  - Crystal oscillator
- (fs = sampling frequency)
  - 0.20 ±0.03 dB passband (0 to 0.4535fs) ripple
  - 53 dB (min) stopband attenuation (0.5465fs to 7.4535fs)
  - Linear phase (zero group delay)
- Input/output
  - 16-bit serial data input (2s-complement, MSB-first)
  - 16-, 18- or 20-bit serial data output (2s-complement, MSB-first)
  - TTL-compatible
- 384fs system clock selectable
- Supply voltage
  - 5 V normal-voltage operation
  - 3.2 V low-voltage operation
- 18-pin plastic DIP or 22-pin SOP
- Molybdenum-gate CMOS process
- Filter functions
  - 1st-order noise shaper (ON/OFF selectable for 18-bit output)
  - Soft muting
  - Digital attenuation
  - Digital deemphasis (for 44.1 kHz)

## APPLICATIONS

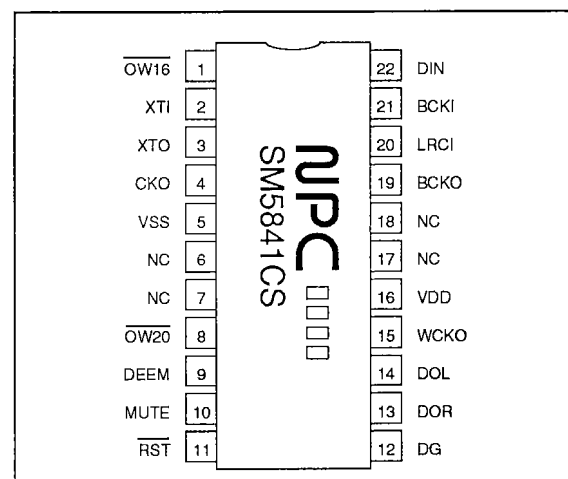
- CD playback systems
- PCM playback systems

## PINOUTS

### 18-pin DIP



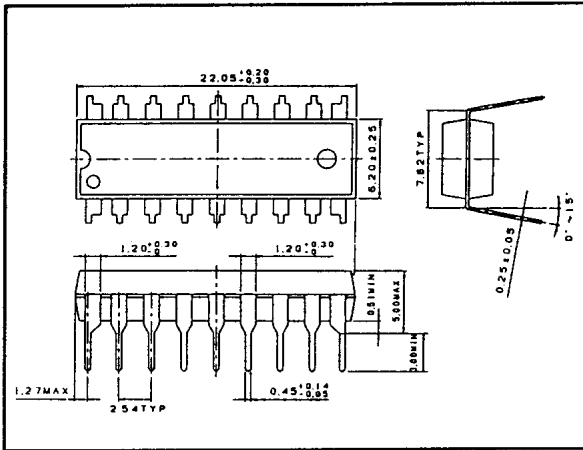
### 22-pin SOP



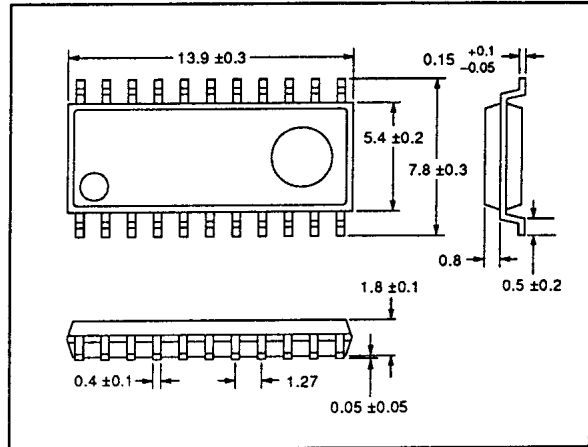
PACKAGE DIMENSIONS

Unit: mm

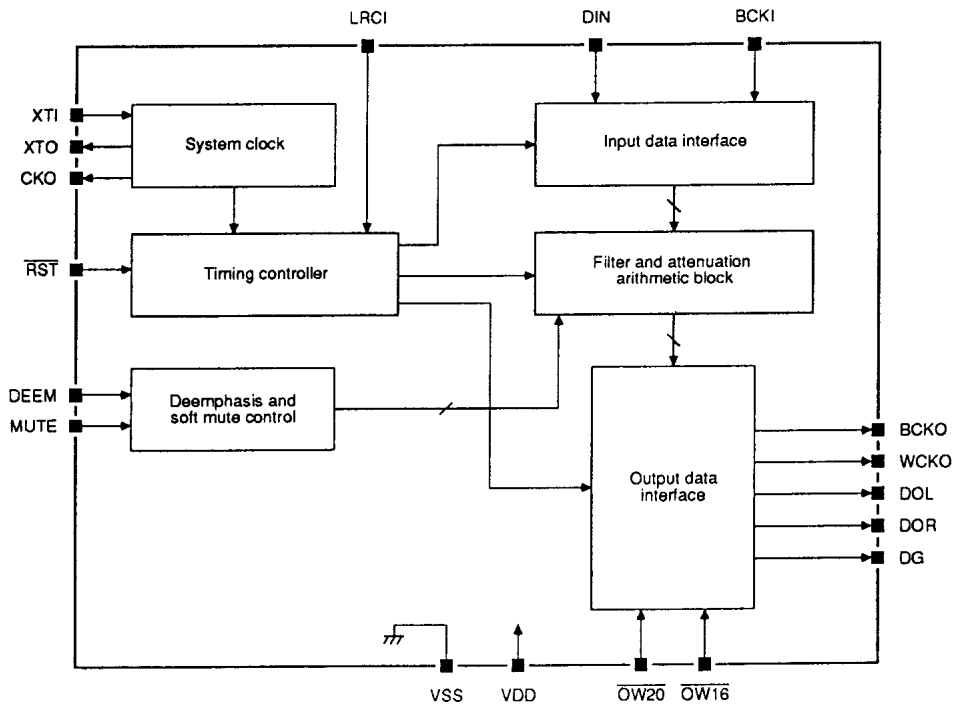
18-pin DIP



22-pin SOP



BLOCK DIAGRAM



## PIN DESCRIPTION

Number		Name	I/O	Description		
SOP	DIP					
1	1	$\overline{OW16}$	ip	Output word length select 1		
				$\overline{OW16}$	$\overline{OW20}$	Output word length (Noise shaper ON/OFF)
				LOW	LOW	18 bits (OFF)
				LOW	HIGH	16 bits (ON)
				HIGH	LOW	20 bits (ON)
HIGH	HIGH	18 bits (ON)				
2	2	XTI	i	Oscillator input connection		
3	3	XTO	o	Oscillator output connection		
4	4	CKO	o	Oscillator output clock (same frequency as XTI)		
5	5	VSS		Ground		
6	–	NC		No connection		
7	–	NC		No connection		
8	6	$\overline{OW20}$	ip	Output word length select 2		
				$\overline{OW16}$	$\overline{OW20}$	Output word length (Noise shaper ON/OFF)
				LOW	LOW	18 bits (OFF)
				LOW	HIGH	16 bits (ON)
				HIGH	LOW	20 bits (ON)
HIGH	HIGH	18 bits (ON)				
9	7	DEEM	ip	Deemphasis signal input. Deemphasis is OFF when LOW, and ON when HIGH.		
10	8	MUTE	ip	Mute signal input. Soft muting is OFF when LOW, and ON when HIGH.		
11	9	$\overline{RST}$	ip	System reset		
12	10	DG	o	De-glitched output		
13	11	DOR	o	Right-channel data output		
14	12	DOL	o	Left-channel data output		
15	13	WCKO	o	Output word clock		
16	14	VDD		5 V supply		
17	–	NC		No connection		
18	–	NC		No connection		
19	15	BCKO	o	Output bit clock		
20	16	LRCI	ip	Input data sample rate (fs) clock		
21	17	BCKI	ip	Input bit clock		
22	18	DIN	ip	Data input		

**Note**

i = input, ip = input with pull-up resistor, o = output

## SPECIFICATIONS

### Absolute Maximum Ratings

 $V_{SS} = 0 \text{ V}$ 

Parameter	Symbol	Rating	Unit
Supply voltage range	$V_{DD}$	-0.3 to 7.0	V
Input voltage range	$V_{IN}$	-0.3 to $V_{DD} + 0.3$	V
Power dissipation	$P_D$	250	mW
Storage temperature range	$T_{stg}$	-40 to 125	deg. C
Soldering temperature	$T_{sld}$	255	deg. C
Soldering time	$t_{sld}$	10	s

### Recommended Operating Conditions

 $V_{SS} = 0 \text{ V}$ 

Parameter	Symbol	Rating	Unit
Supply voltage range	$V_{DD}$	3.2 to 5.5	V
Operating temperature range	$T_{opr}$	-20 to 80	deg. C

## DC Electrical Characteristics

### Normal-voltage mode

 $V_{DD} = 4.5 \text{ to } 5.5 \text{ V}$ ,  $V_{SS} = 0 \text{ V}$ ,  $T_a = -20 \text{ to } 80 \text{ deg. C}$  unless otherwise noted

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Supply current	$I_{DD}$	$V_{DD} = 5.0 \text{ V}$ , $f_{SYS} = 384 \text{ fs} = 19.3 \text{ MHz}$ , no load	-	-	40	mA
XTI HIGH-level input voltage	$V_{IH1}$		$0.7V_{DD}$	-	-	V
XTI LOW-level input voltage	$V_{IL1}$		-	-	$0.3V_{DD}$	V
HIGH-level input voltage	$V_{IH2}$	See note 1.	2.4	-	-	V
LOW-level input voltage	$V_{IL2}$		-	-	0.5	V
HIGH-level output voltage	$V_{OH}$	$I_{OH} = -0.4 \text{ mA}$ . See note 2.	2.5	-	-	V
LOW-level output voltage	$V_{OL}$	$I_{OL} = 1.6 \text{ mA}$ . See note 2.	-	-	0.4	V
XTI HIGH-level input leakage current	$I_{LH1}$	$V_{IN} = V_{DD}$	-	10	20	$\mu\text{A}$
XTI LOW-level input leakage current	$I_{LL1}$	$V_{IN} = 0 \text{ V}$	-	10	20	$\mu\text{A}$
HIGH-level input leakage current	$I_{LH2}$	$V_{IN} = V_{DD}$ . See note 1.	-	-	1.0	$\mu\text{A}$
LOW-level input current	$I_{IL}$	$V_{IN} = 0 \text{ V}$ . See note 1.	-	10	20	$\mu\text{A}$

### Notes

1. Pins LRCI, DIN, BCKI, MUTE, DEEM,  $\overline{\text{OW16}}$ ,  $\overline{\text{OW20}}$  and  $\overline{\text{RST}}$
2. Pins CKO, DOL, DOR, BCKO, WCKO and DG

## SM5841C

### Low-voltage mode

$V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $70$  deg. C unless otherwise noted

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Supply current	$I_{DD}$	$V_{DD} = 3.4$ V, $f_{SYS} = 384$ fs = 18.5 MHz, no load	-	-	20	mA
XTI HIGH-level input voltage	$V_{IH1}$		$0.7V_{DD}$	-	-	V
XTI LOW-level input voltage	$V_{IL1}$		-	-	$0.3V_{DD}$	V
HIGH-level input voltage	$V_{IH2}$	See note 1.	2.4	-	-	V
LOW-level input voltage	$V_{IL2}$		-	-	0.5	V
HIGH-level output voltage	$V_{OH}$	$I_{OH} = -0.2$ mA. See note 2.	2.5	-	-	V
LOW-level output voltage	$V_{OL}$	$I_{OL} = 0.8$ mA. See note 2.	-	-	0.4	V
XTI HIGH-level input leakage current	$I_{LH1}$	$V_{IN} = V_{DD}$	-	-	12	$\mu$ A
XTI LOW-level input leakage current	$I_{LL1}$	$V_{IN} = 0$ V	-	-	12	$\mu$ A
HIGH-level input leakage current	$I_{LH2}$	$V_{IN} = V_{DD}$ . See note 1.	-	-	1.0	$\mu$ A
LOW-level input current	$I_{IL}$	$V_{IN} = 0$ V. See note 1.	-	-	12	$\mu$ A

### Notes

1. Pins LRCI, DIN, BCKI, MUTE, DEEM,  $\overline{OW16}$ ,  $\overline{OW20}$  and  $\overline{RST}$
2. Pins CKO, DOL, DOR, BCKO, WCKO and DG

### AC Electrical Characteristics

$V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  deg. C for normal-voltage operation.

$V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $70$  deg. C for low-voltage operation.

Typical values are measured at  $f_s = 44.1$  kHz.

### System clock

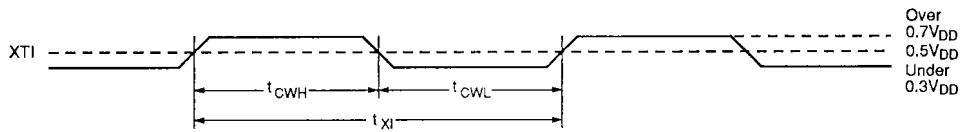
#### Crystal oscillator operation

Parameter	Symbol	Rating			Unit
		min	typ	max	
Oscillator frequency	$f_{MAX}$	4.0	16.9	19.3	MHz

#### External clock input operation

Parameter	Symbol	Rating			Unit
		min	typ	max	
XTI HIGH-level clock pulsewidth	$t_{CWH}$	21.7	29.5	125	ns
XTI LOW-level clock pulsewidth	$t_{CWL}$	21.7	29.5	125	ns
XTI clock pulse time	$t_{XI}$	51.7	59.0	250	ns

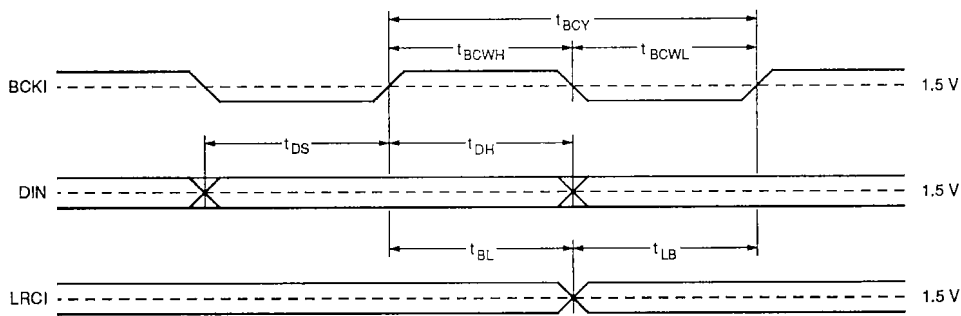
System clock timing waveform



Serial input timing (BCKI, DIN, LRCI)

Parameter	Symbol	Rating			Unit
		min	typ	max	
BCKI HIGH-level pulsewidth	$t_{BCWH}$	50	–	–	ns
BCKI LOW-level pulsewidth	$t_{BCWL}$	50	–	–	ns
BCKI pulse period	$t_{BCY}$	100	–	–	ns
DIN setup time	$t_{DS}$	50	–	–	ns
DIN hold time	$t_{DH}$	50	–	–	ns
Last BCKI rising edge to LRCI edge	$t_{BL}$	50	–	–	ns
LRCI edge to first BCKI rising edge	$t_{LB}$	50	–	–	ns

BCKI, DIN and LRCI input timing waveform



Reset timing

$V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  deg. C

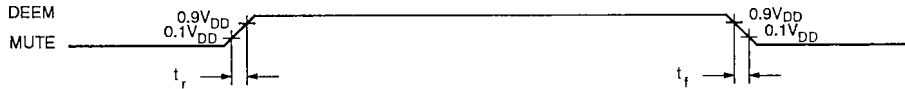
Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
RST LOW-level pulsewidth	$t_{RST}$	At power-on	1	–	–	$\mu$ s
		At other times	50	–	–	ns

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### Control input timing (DEEM, MUTE)

Parameter	Symbol	Rating			Unit
		min	typ	max	
DEEM and MUTE rise time	$t_r$	–	–	100	ns
DEEM and MUTE fall time	$t_f$	–	–	100	ns

### Control input timing waveform



### Output timing

#### Normal-voltage mode

$V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  deg. C

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Oscillator input to output delay	$t_{XTO}$	XTI falling edge to XTO rising edge	3	–	20	ns
Oscillator input to clock output delay	$t_{CKO}$	XTI falling edge to CKO falling edge	7	–	30	ns
Oscillator input to bit clock output delay (CKSL = HIGH)	$t_{sbH}$	XTI falling edge to BCKO rising edge	10	–	60	ns
	$t_{sbL}$	XTI falling edge to BCKO falling edge	10	–	60	ns
Oscillator input to bit clock output delay (CKSL = LOW)	$t_{sbH}$	XTI rising edge to BCKO rising edge	10	–	60	ns
	$t_{sbL}$	XTI falling edge to BCKO falling edge	10	–	60	ns
Bit clock output to data output and word clock output delay	$t_{bdH1}$	BCKO falling edge to rising-edge output	0	–	20	ns
	$t_{bdL1}$	BCKO falling edge to falling-edge output	0	–	20	ns
Bit clock output to de-glitched output delay	$t_{bdH2}$	BCKO rising edge to rising-edge output	0	–	20	ns
	$t_{bdL2}$	BCKO rising edge to falling-edge output	0	–	20	ns

#### Note

All measurements with 15 pF load

## SM5841C

### Low-voltage mode

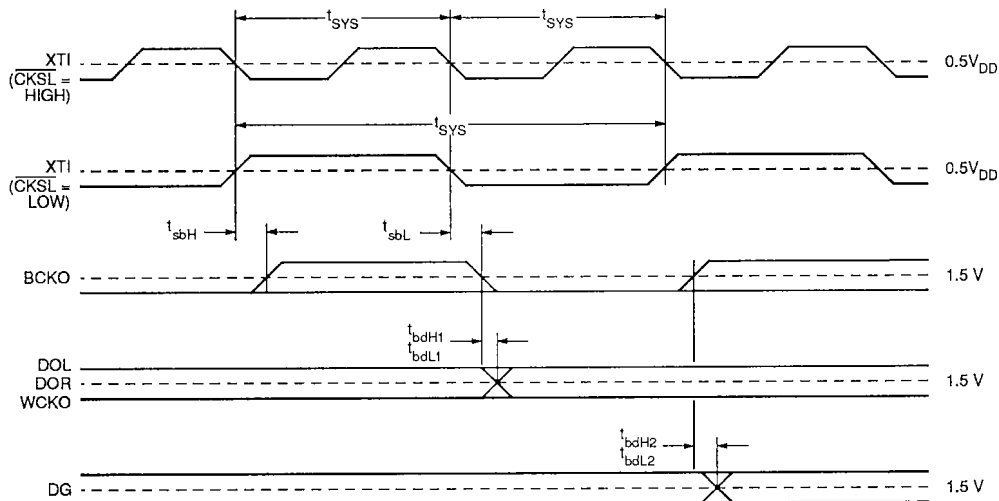
$V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $70$  deg. C

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Oscillator input to output delay	$t_{XTO}$	XTI falling edge to XTO rising edge	3	–	30	ns
Oscillator input to clock output delay	$t_{CKO}$	XTI falling edge to CKO falling edge	7	–	45	ns
Oscillator input to bit clock output delay (CKSL = HIGH)	$t_{sbH}$	XTI falling edge to BCKO rising edge	10	–	100	ns
	$t_{sbL}$	XTI falling edge to BCKO falling edge	10	–	100	ns
Oscillator input to bit clock output delay (CKSL = LOW)	$t_{sbH}$	XTI rising edge to BCKO rising edge	10	–	100	ns
	$t_{sbL}$	XTI falling edge to BCKO falling edge	10	–	100	ns
Bit clock output to data output and word clock output delay	$t_{bdH1}$	BCKO falling edge to rising-edge output	0	–	30	ns
	$t_{bdL1}$	BCKO falling edge to falling-edge output	0	–	30	ns
Bit clock output to de-glitched output delay	$t_{bdH2}$	BCKO rising edge to rising-edge output	0	–	30	ns
	$t_{bdL2}$	BCKO rising edge to falling-edge output	0	–	30	ns

### Note

All measurements with 15 pF load

### Output timing waveform

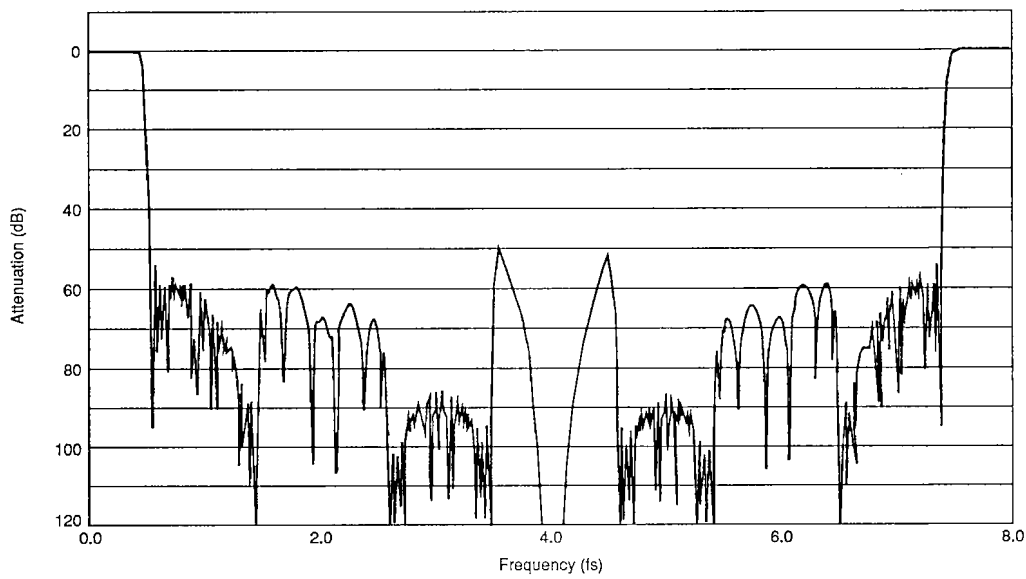




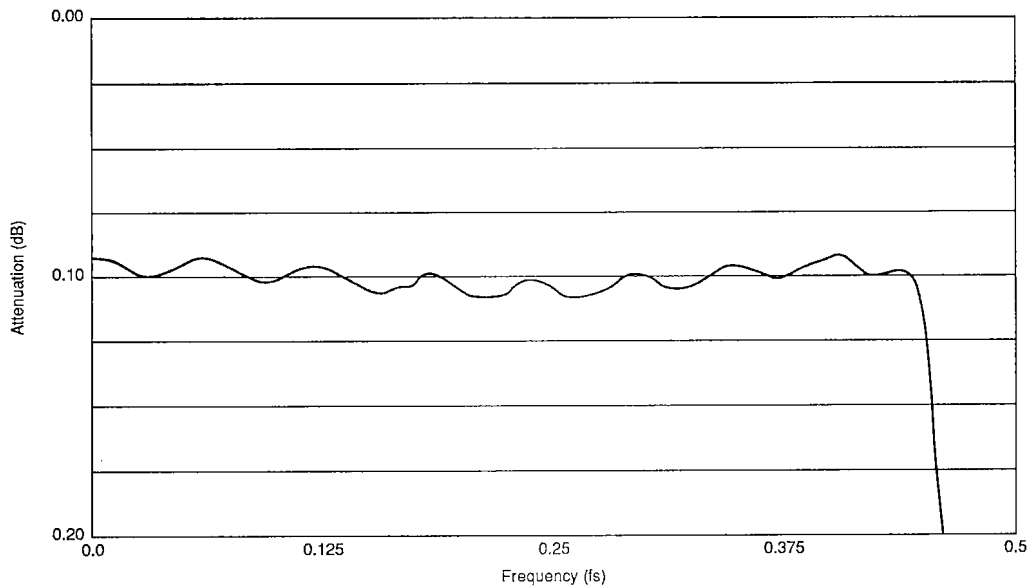
**Filter Characteristics**

Parameter	Frequency		Rating (dB)		
	f	@fs = 44.1 kHz	min	typ	max
Passband attenuation	0 to 0.4535fs	0 to 20 kHz	-	0.20	-
Passband ripple			-0.03	-	0.03
Stopband attenuation	0.5465fs to 3.4535fs	21.4 to 152 kHz	53	-	-
	3.4535fs to 4.5465fs	152 to 201 kHz	50	-	-
	4.5465fs to 7.4535fs	201 to 328 kHz	53	-	-

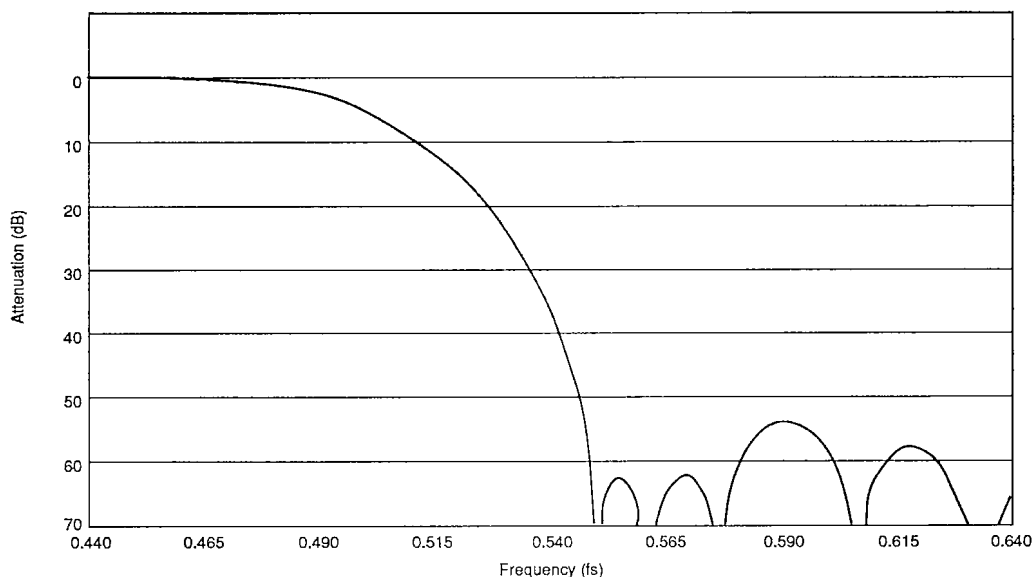
**8fs filter frequency characteristic (Deemphasis OFF)**



**8fs filter passband characteristic (Deemphasis OFF)**



8fs filter band-transition characteristic (Deemphasis OFF)



Deemphasis filter

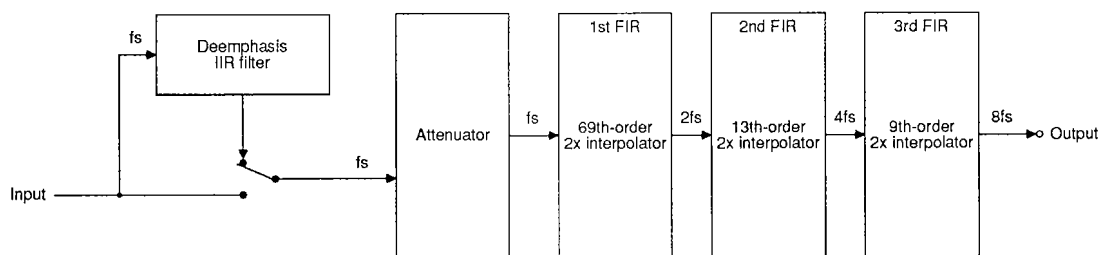
Parameter		Rating at fs = 44.1 kHz
Passband bandwidth (kHz)		0 to 20.0
Deviation from ideal characteristics	Attenuation (dB)	-0.05 to 0.15
	Phase, $\theta$ ( $^\circ$ )	-1 to 1.5

Note

These are the maximum deviations from a 1 kHz input signal with 0 dB attenuation and 0° phase ideal characteristic.

FUNCTIONAL DESCRIPTION

SM5841C Arithmetic Block



Oversampling (Interpolation)

The SM5841C performs oversampling using a three-stage FIR interpolation filter. Each filter stage interpolates the signal by a factor of two, giving an overall interpolation factor of eight. Sampling noise components are attenuated by the interpolation filter to greater than 53 dB in the 0.5465fs to 7.4535fs stopband.

Digital Deemphasis

The deemphasis filter is in cascade with the oversampling filters. It is implemented using an IIR filter, and reproduces the deemphasis gain and phase characteristics more faithfully than conventional analog deemphasis filters. Deemphasis is enabled when DEEM is HIGH, and disabled when DEEM is LOW.

### Soft Mute

The oversampled output can be muted using a built-in digital attenuator controlled by the MUTE pin. Muting is ON when MUTE is HIGH, and OFF when MUTE is LOW.

When MUTE is HIGH, the maximum attenuation coefficient (DATT) 127 is loaded into the temporary-storage register and the attenuation slowly changes to ∞ dB in unit steps.

When MUTE is LOW, the maximum attenuation coefficient (DATT) 0 is loaded into the temporary-storage register and the attenuation slowly changes to 0 dB in unit steps.

Both the left and right channels are attenuated simultaneously by an amount

$$\text{Attenuation} = 20 \times \log_{10} (1 - \text{DATT}/127) \text{ dB}$$

where DATT is the contents of the attenuation register. When DATT = 127, the attenuation is infinite (mute function).

If MUTE changes level before the maximum/minimum level is reached, the gain ramps in the direction of the latest set level.

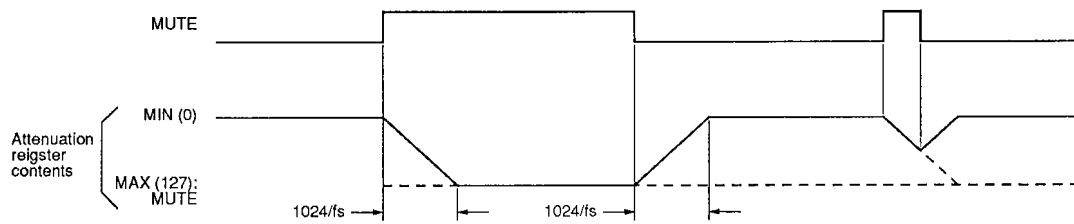


Figure 1. Attenuation level changes

The time taken to increase the attenuation from 0 (DATT = 1) to ∞ dB (DATT = 127) is approximately 1024/fs, which is approximately 23.2 ms at fs = 44.1 kHz.

### Audio Data Input (DIN, BCKI, LRCI)

The input is in 16-bit, 2s-complement, MSB-first, serial data format.

### System Clock (XTI, XTO, CKO)

The system clock operates at 384fs. The clock can be generated either externally (input on XTI) or internally (crystal oscillator between XTI and XTO). The clock is output on CKO.

Table 1. System clock

System clock frequency	Clock input	Internal operating frequency	Serial output clock frequency
384fs	External clock on XTI OR Crystal oscillator between XTI and XTO	128fs	192fs

### Input timing

Serial input data on DIN is clocked into an SIPO register on the rising edge of the BCKI bit clock, and then converted into parallel data.

The SIPO output data for each channel is latched into the left-channel/right-channel input register on the rising/falling edge of LRCI.

The timing of the arithmetic and output circuits is independent of the input timing. Accordingly, phase differences between LRCI, BCKI and XTI do not cause incorrect operation, and data input clock jitter does not generate jitter in the output clock.

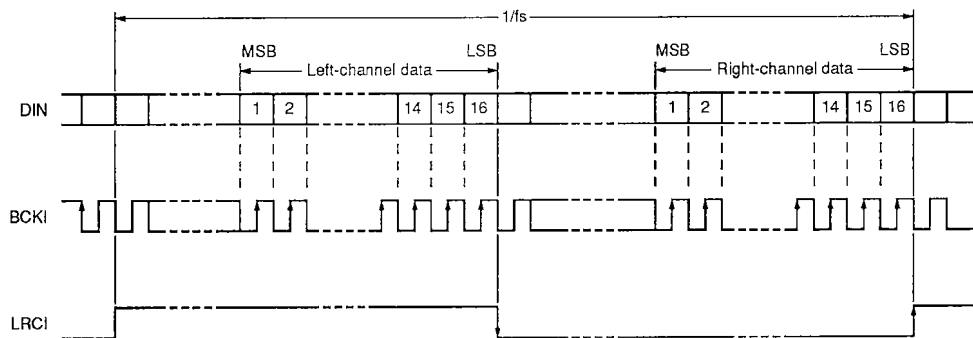


Figure 2. Audio data input timing

**Data Output (DOL, DOR, BCKO, WCKO, DG,  $\overline{OW16}$ ,  $\overline{OW20}$ )**

The output is in 8fs L/R alternating, 2s-complement, MSB-first serial format. The output word length is 16-, 18- or 20-bit selectable using  $\overline{OW16}$  and  $\overline{OW20}$ .

Table 2. Output timing

Parameter	Symbol	Rating
Bit clock rate	$t_B$	1/192fs
Data word length	$t_{DW}$	24t <sub>B</sub>

The output timing is shown in table 2.

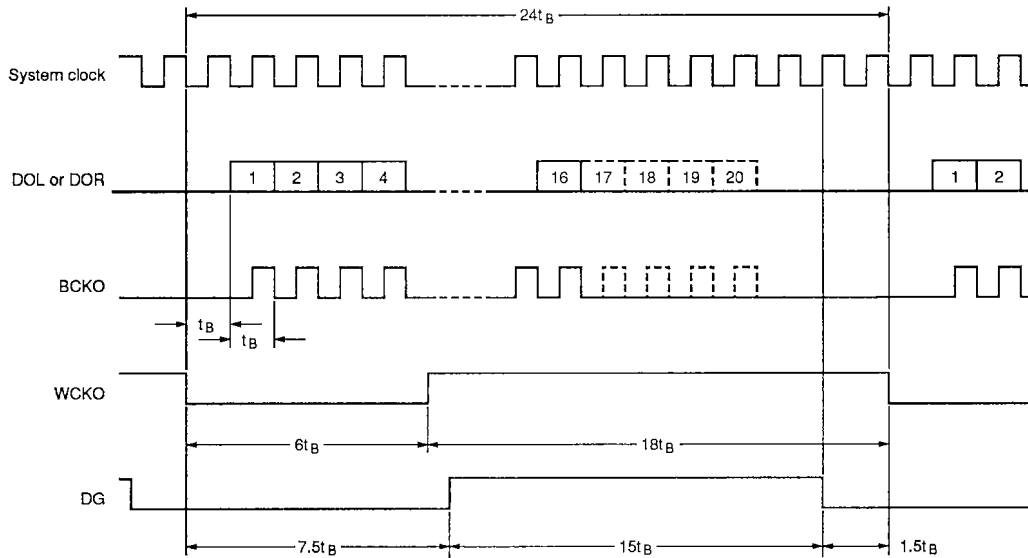


Figure 3. Data output timing

**Note**

In 18-bit mode, pulses 17 and 18 are output, and in 20-bit mode, pulses 17 to 20 are output.

**System Reset**

The SM5841C must be reset at power-ON and when  $\overline{CKSL}$  changes state by applying at LOW-level pulse on  $\overline{RST}$ .

others systems that do not use a microcontroller, XTI and LRCI must stabilize before  $\overline{RST}$  goes HIGH. A larger capacitor can be used to ensure that this occurs.

At system reset, the arithmetic and output timing counters are reset on the next LRCI start edge after XTI has stabilized.

If the system clock becomes corrupted or develops jitter such that the timing increases above  $\pm 3/8 \times$  (LRCI clock frequency), then the internal timing will automatically reset on the next LRCI start edge. This timing re-synchronization can generate an output click noise.

A power-ON reset pulse can be applied from a controlling microprocessor, or by connecting a 300 pF capacitor between  $\overline{RST}$  and VSS for systems where XTI and LRCI stabilize simultaneously. For

### Output Muting

When  $\overline{\text{RST}}$  goes LOW, DOL and DOR go LOW, immediately muting the output words. Muting is released and timing re-synchronized on the third LRCI rising edge after  $\overline{\text{RST}}$  goes HIGH.

LRCI rising edge after  $\overline{\text{RST}}$  goes HIGH. The BCKO and WCKO clock outputs do not stop.

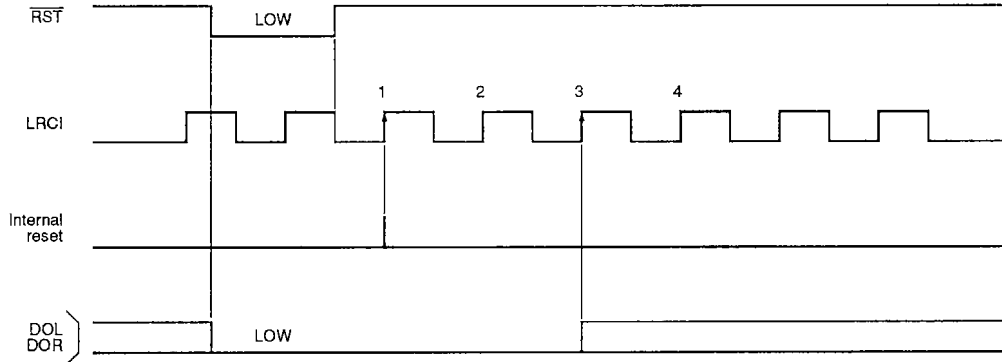


Figure 4. System reset timing and output muting

### TIMING DIAGRAMS

#### Input Timing (DIN, BCKI, LRCI)

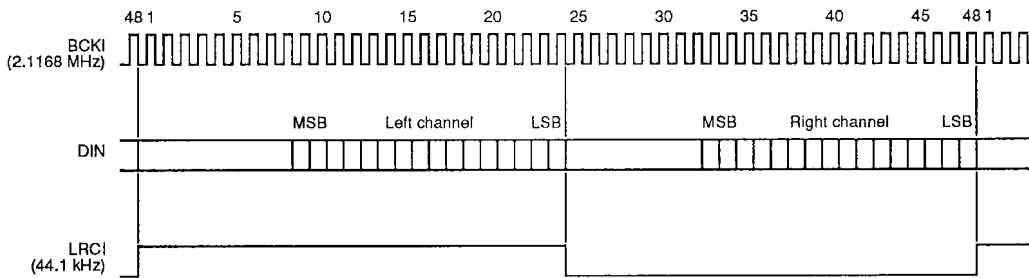


Figure 5. Input timing 1

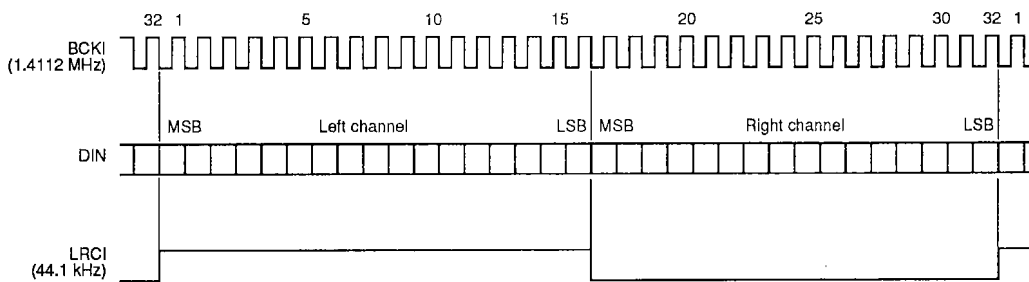


Figure 6. Input timing 2

Output Timing (DOL, DOR, BCKO, WCKO, DG)

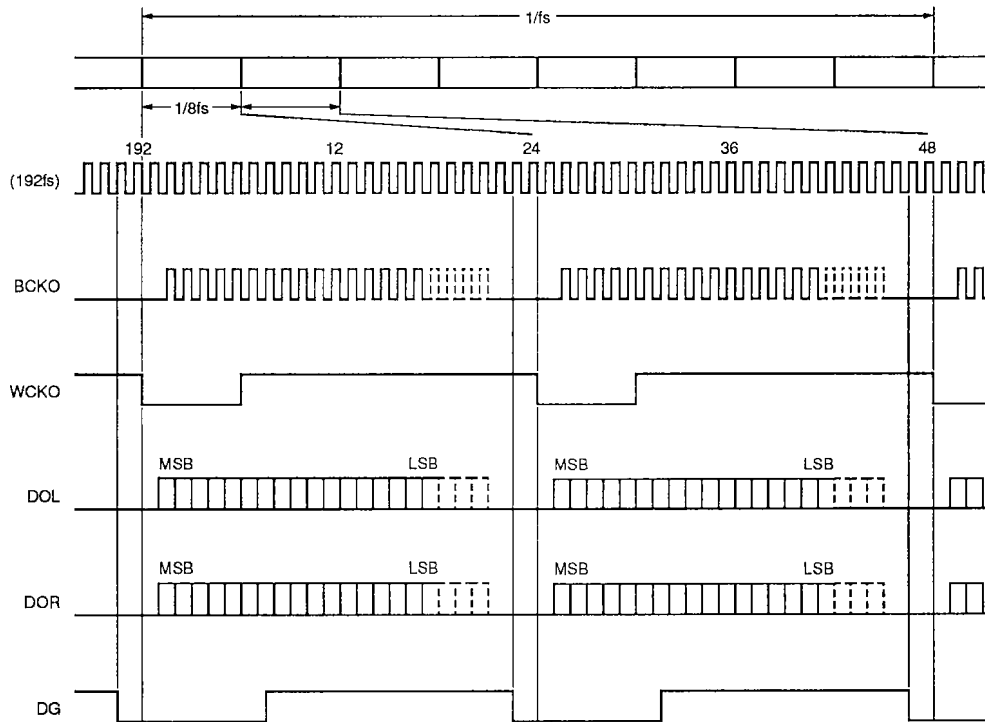


Figure 7. Output timing

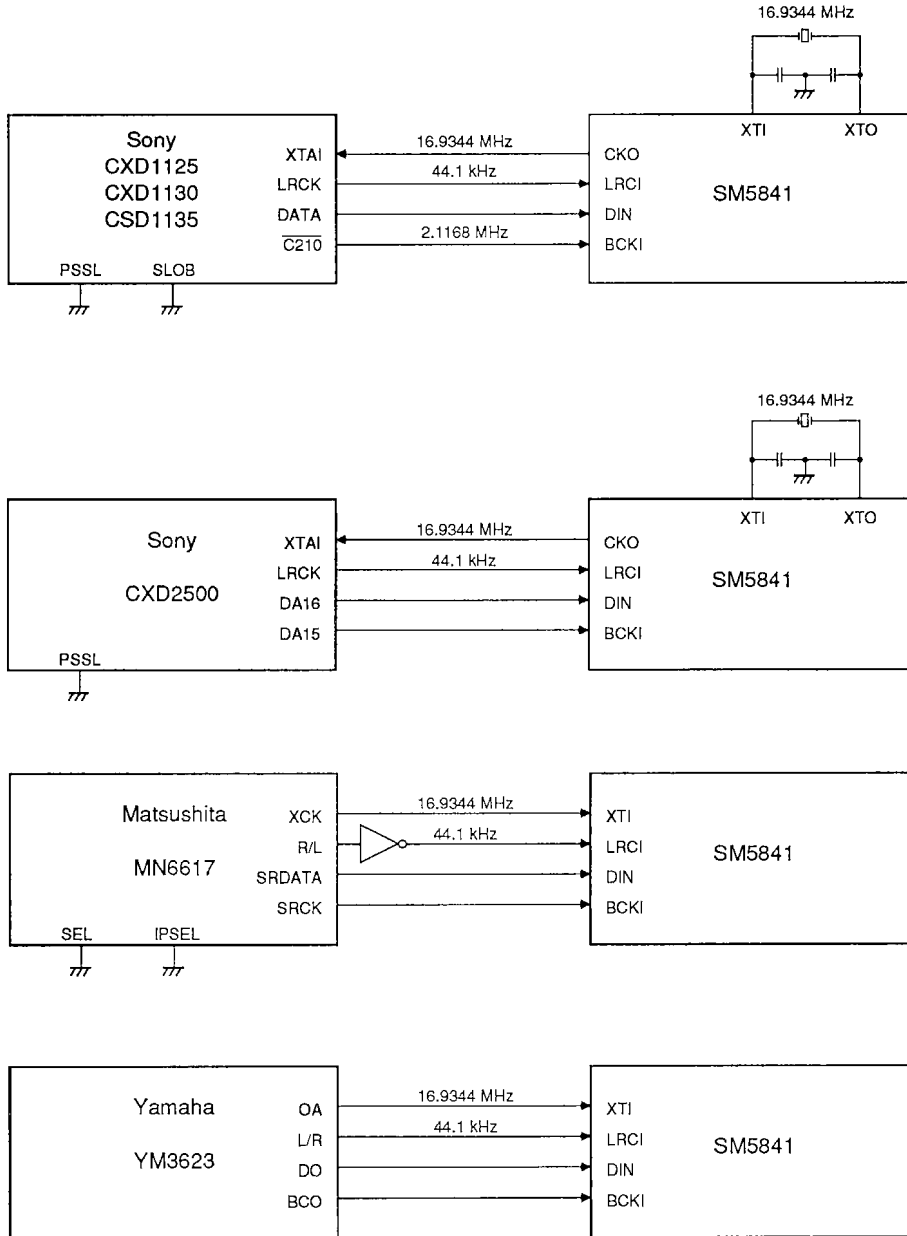
**Note**

The length of the output word, and hence the number of DOL and DOR bits and BCKO pulses per word, varies depending on the state of  $\overline{OW16}$  and  $\overline{OW20}$ .

## APPLICATION CIRCUITS

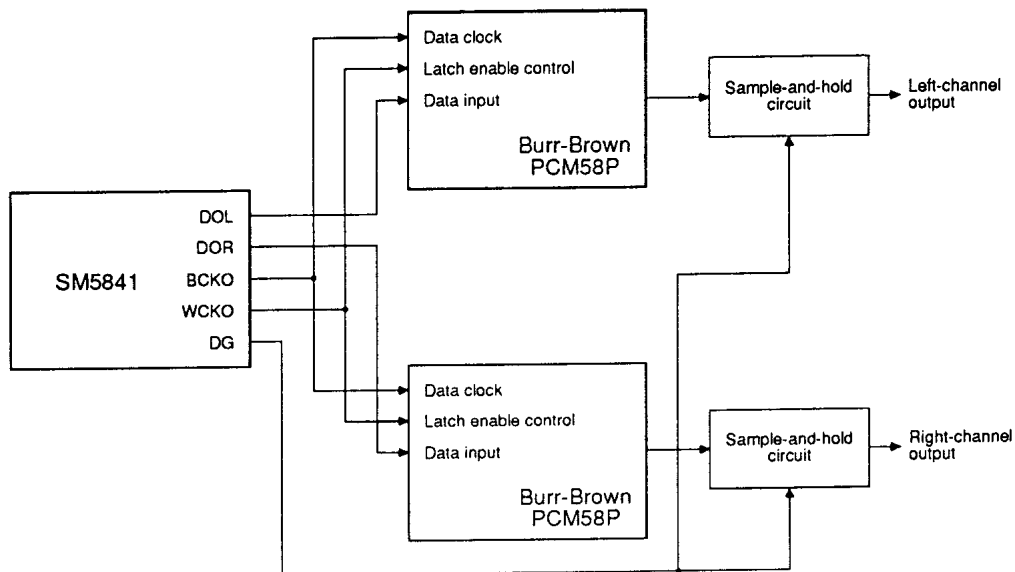
The following interface circuits all use the signal relationships, however, need to be determined separately for each circuit.

### Input Interface Circuits



## Output Interface Circuits

## 18-bit dual D/A converter (8fs L/R alternating output mode)



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